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COMP4161: Advanced Topics in Software Verification

# HOL

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# Last time...



- natural deduction rules for  $\wedge$ ,  $\vee$ ,  $\longrightarrow$ ,  $\neg$ , iff...
- proof by assumption, by intro rule, elim rule
- safe and unsafe rules
  
- indent your proofs! (one space per subgoal)
- prefer implicit backtracking (chaining) or *rule\_tac*, instead of *back*
- *prefer* and *defer*
- *oops* and *sorry*

# Content



- Intro & motivation, getting started [1]
  
- Foundations & Principles
  - Lambda Calculus, natural deduction [1,2]
  - Higher Order Logic [3<sup>a</sup>]
  - Term rewriting [4]
  
- Proof & Specification Techniques
  - Inductively defined sets, rule induction [5]
  - Datatypes, recursion, induction [6, 7]
  - Hoare logic, proofs about programs, C verification [8<sup>b</sup>,9]
  - (mid-semester break)
  - Writing Automated Proof Methods [10]
  - Isar, codegen, typeclasses, locales [11<sup>c</sup>,12]

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<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

# Quantifiers

# Scope



- Scope of parameters: whole subgoal
- Scope of  $\forall, \exists, \dots$ : ends with ; or  $\implies$

**Example:**

# Scope



- Scope of parameters: whole subgoal
- Scope of  $\forall, \exists, \dots$ : ends with ; or  $\implies$

## Example:

$$\bigwedge x y. [ \forall y. P y \longrightarrow Q z y; Q x y ] \implies \exists x. Q x y$$

means

# Scope



- Scope of parameters: whole subgoal
- Scope of  $\forall, \exists, \dots$ : ends with ; or  $\implies$

## Example:

$$\bigwedge x y. \llbracket \forall y. P y \longrightarrow Q z y; Q x y \rrbracket \implies \exists x. Q x y$$

means

$$\bigwedge x y. \llbracket (\forall y_1. P y_1 \longrightarrow Q z y_1); Q x y \rrbracket \implies (\exists x_1. Q x_1 y)$$

# Natural deduction for quantifiers



$$\frac{}{\forall x. P x} \text{ allI}$$

$$\frac{\forall x. P x}{R} \text{ allE}$$

$$\frac{}{\exists x. P x} \text{ exI}$$

$$\frac{\exists x. P x}{R} \text{ exE}$$



# Natural deduction for quantifiers



$$\frac{\bigwedge x. P x}{\bigvee x. P x} \text{ allI}$$

$$\frac{\bigvee x. P x}{R} \text{ allE}$$

$$\frac{}{\exists x. P x} \text{ exI}$$

$$\frac{\exists x. P x}{R} \text{ exE}$$

# Natural deduction for quantifiers



$$\frac{\bigwedge x. P x}{\bigvee x. P x} \text{ allI}$$

$$\frac{\bigvee x. P x \quad P ?x \implies R}{R} \text{ allE}$$

$$\frac{}{\exists x. P x} \text{ exI}$$

$$\frac{\exists x. P x}{R} \text{ exE}$$

# Natural deduction for quantifiers



$$\frac{\bigwedge x. P x}{\bigvee x. P x} \text{ allI}$$

$$\frac{\bigvee x. P x \quad P ?x \implies R}{R} \text{ allE}$$

$$\frac{P ?x}{\exists x. P x} \text{ exI}$$

$$\frac{\exists x. P x}{R} \text{ exE}$$

# Natural deduction for quantifiers



$$\frac{\bigwedge x. P x}{\bigvee x. P x} \text{ allI}$$

$$\frac{\bigvee x. P x \quad P ?x \implies R}{R} \text{ allE}$$

$$\frac{P ?x}{\exists x. P x} \text{ exI}$$

$$\frac{\exists x. P x \quad \bigwedge x. P x \implies R}{R} \text{ exE}$$

# Natural deduction for quantifiers



$$\frac{\bigwedge x. P x}{\forall x. P x} \text{ allI} \qquad \frac{\forall x. P x \quad P ?x \implies R}{R} \text{ allE}$$
$$\frac{P ?x}{\exists x. P x} \text{ exI} \qquad \frac{\exists x. P x \quad \bigwedge x. P x \implies R}{R} \text{ exE}$$

- **allI** and **exE** introduce new parameters ( $\bigwedge x$ ).
- **allE** and **exI** introduce new unknowns ( $?x$ ).

# Instantiating Rules



`apply (rule_tac x = "term" in rule)`

Like **rule**, but  $?x$  in *rule* is instantiated by *term* before application.

Similar: **erule\_tac**

**!**  $x$  is in *rule*, not in goal **!**

# Two Successful Proofs



1.  $\forall x. \exists y. x = y$

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1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\wedge x. \exists y. x = y$



# Two Successful Proofs



1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\bigwedge x. \exists y. x = y$

best practice

**apply** (rule\_tac x = "x" in exI)

1.  $\bigwedge x. x = x$

# Two Successful Proofs



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**apply** (rule refl)

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**apply** (rule\_tac x = "x" in exI)

1.  $\bigwedge x. x = x$

**apply** (rule refl)

exploration

**apply** (rule exI)

1.  $\bigwedge x. x = ?y x$

# Two Successful Proofs



1.  $\forall x. \exists y. x = y$

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1.  $\bigwedge x. \exists y. x = y$

best practice

**apply** (rule\_tac x = "x" in exI)

1.  $\bigwedge x. x = x$

**apply** (rule refl)

exploration

**apply** (rule exI)

1.  $\bigwedge x. x = ?y\ x$

**apply** (rule refl)

$?y \mapsto \lambda u. u$

# Two Successful Proofs



1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\bigwedge x. \exists y. x = y$

best practice

**apply** (rule\_tac x = "x" in exI)

1.  $\bigwedge x. x = x$

**apply** (rule refl)

**simpler & clearer**

exploration

**apply** (rule exI)

1.  $\bigwedge x. x = ?y \ x$

**apply** (rule refl)

$?y \mapsto \lambda u. u$

**shorter & trickier**

# Two Unsuccessful Proofs



1.  $\exists y. \forall x. x = y$

# Two Unsuccessful Proofs



1.  $\exists y. \forall x. x = y$

**apply** (rule\_tac x = ??? in exI)

# Two Unsuccessful Proofs



1.  $\exists y. \forall x. x = y$

**apply** (rule\_tac x = ??? in exI)

**apply** (rule exI)

1.  $\forall x. x = ?y$



# Two Unsuccessful Proofs



1.  $\exists y. \forall x. x = y$

**apply** (rule\_tac x = ??? in exI)

**apply** (rule exI)

1.  $\forall x. x = ?y$

**apply** (rule allI)

1.  $\bigwedge x. x = ?y$

# Two Unsuccessful Proofs



1.  $\exists y. \forall x. x = y$

**apply** (rule\_tac x = ??? in exI)

**apply** (rule exI)

1.  $\forall x. x = ?y$

**apply** (rule allI)

1.  $\bigwedge x. x = ?y$

**apply** (rule refl)

$?y \mapsto x$  yields  $\bigwedge x'. x' = x$

# Two Unsuccessful Proofs



$$1. \exists y. \forall x. x = y$$

**apply** (rule\_tac x = ??? in exI)

**apply** (rule exI)

$$1. \forall x. x = ?y$$

**apply** (rule allI)

$$1. \bigwedge x. x = ?y$$

**apply** (rule refl)

$$?y \mapsto x \text{ yields } \bigwedge x'. x' = x$$

## Principle:

$?f\ x_1 \dots x_n$  can only be replaced by term  $t$

if  $params(t) \subseteq x_1, \dots, x_n$

# Safe and Unsafe Rules



Safe alll, exE

Unsafe allE, exl

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Safe alll, exE

Unsafe allE, exl

**Create parameters first, unknowns later**



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# Demo: Quantifier Proofs

# Parameter names



Parameter names are chosen by Isabelle

1.  $\forall x. \exists y. x = y$

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Parameter names are chosen by Isabelle

1.  $\forall x. \exists y. x = y$

**apply** (rule all1)

1.  $\bigwedge x. \exists y. x = y$



# Parameter names



Parameter names are chosen by Isabelle

1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\bigwedge x. \exists y. x = y$

**apply** (rule\_tac x = "x" in exI)

**Brittle!**

# Renaming parameters



1.  $\forall x. \exists y. x = y$

**apply** (rule alll)

1.  $\bigwedge x. \exists y. x = y$

# Renaming parameters



1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\bigwedge x. \exists y. x = y$

**apply** (rename\_tac N)

1.  $\bigwedge N. \exists y. N = y$

# Renaming parameters



1.  $\forall x. \exists y. x = y$

**apply** (rule all)

1.  $\bigwedge x. \exists y. x = y$

**apply** (rename\_tac N)

1.  $\bigwedge N. \exists y. N = y$

**apply** (rule\_tac x = "N" in ex1)

**In general:**

**(rename\_tac  $x_1 \dots x_n$ )** renames the rightmost (inner)  $n$  parameters

**to**  $x_1 \dots x_n$

# Forward Proof: frule and drule



**apply** (frule < *rule* >)

Rule:  $\llbracket A_1; \dots; A_m \rrbracket \implies A$

Subgoal: 1.  $\llbracket B_1; \dots; B_n \rrbracket \implies C$

# Forward Proof: frule and drule



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Rule:  $\llbracket A_1; \dots; A_m \rrbracket \implies A$

Subgoal: 1.  $\llbracket B_1; \dots; B_n \rrbracket \implies C$

Substitution:  $\sigma(B_i) \equiv \sigma(A_1)$

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Subgoal: 1.  $\llbracket B_1; \dots; B_n \rrbracket \implies C$

Substitution:  $\sigma(B_i) \equiv \sigma(A_1)$

New subgoals: 1.  $\sigma(\llbracket B_1; \dots; B_n \rrbracket \implies A_2)$

$\vdots$

m-1.  $\sigma(\llbracket B_1; \dots; B_n \rrbracket \implies A_m)$

m.  $\sigma(\llbracket B_1; \dots; B_n; A \rrbracket \implies C)$

# Forward Proof: frule and drule



**apply** (frule  $\langle rule \rangle$ )

Rule:  $\llbracket A_1; \dots; A_m \rrbracket \implies A$

Subgoal: 1.  $\llbracket B_1; \dots; B_n \rrbracket \implies C$

Substitution:  $\sigma(B_i) \equiv \sigma(A_1)$

New subgoals: 1.  $\sigma(\llbracket B_1; \dots; B_n \rrbracket \implies A_2)$

$\vdots$

m-1.  $\sigma(\llbracket B_1; \dots; B_n \rrbracket \implies A_m)$

m.  $\sigma(\llbracket B_1; \dots; B_n; A \rrbracket \implies C)$

Like **frule** but also deletes  $B_i$ : **apply** (drule  $\langle rule \rangle$ )



# Examples for Forward Rules



$$\frac{P \wedge Q}{P} \text{ conjunct1} \quad \frac{P \wedge Q}{Q} \text{ conjunct2}$$

$$\frac{P \longrightarrow Q \quad P}{Q} \text{ mp}$$

$$\frac{\forall x. P \ x}{P \ ?x} \text{ spec}$$

# Forward Proof: OF



$r$  [OF  $r_1 \dots r_n$ ]

*Prove assumption 1 of theorem  $r$  with theorem  $r_1$ , and assumption 2 with theorem  $r_2$ , and ...*

# Forward Proof: OF



$r$  [OF  $r_1 \dots r_n$ ]

*Prove assumption 1 of theorem  $r$  with theorem  $r_1$ , and assumption 2 with theorem  $r_2$ , and ...*

Rule  $r$        $\llbracket A_1; \dots; A_m \rrbracket \implies A$

Rule  $r_1$       $\llbracket B_1; \dots; B_n \rrbracket \implies B$

# Forward Proof: OF



$$r \text{ [OF } r_1 \dots r_n]$$

*Prove assumption 1 of theorem  $r$  with theorem  $r_1$ , and assumption 2 with theorem  $r_2$ , and ...*

$$\text{Rule } r \quad \llbracket A_1; \dots; A_m \rrbracket \implies A$$

$$\text{Rule } r_1 \quad \llbracket B_1; \dots; B_n \rrbracket \implies B$$

$$\text{Substitution} \quad \sigma(B) \equiv \sigma(A_1)$$

# Forward Proof: OF



$r$  [OF  $r_1 \dots r_n$ ]

*Prove assumption 1 of theorem  $r$  with theorem  $r_1$ , and assumption 2 with theorem  $r_2$ , and ...*

Rule  $r$        $\llbracket A_1; \dots; A_m \rrbracket \implies A$

Rule  $r_1$       $\llbracket B_1; \dots; B_n \rrbracket \implies B$

Substitution  $\sigma(B) \equiv \sigma(A_1)$

$r$  [OF  $r_1$ ]     $\sigma(\llbracket B_1; \dots; B_n; A_2; \dots; A_m \rrbracket \implies A)$

# Forward proofs: THEN



$r_1$  [THEN  $r_2$ ] means  $r_2$  [OF  $r_1$ ]



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# Demo: Forward Proofs

# Hilbert's Epsilon Operator



(David Hilbert, 1862-1943)

$\varepsilon x. Px$  is a value that satisfies  $P$  (if such a value exists)



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In Isabelle the  $\varepsilon$ -operator is written `SOME x. P x`

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In Isabelle the  $\varepsilon$ -operator is written  $\text{SOME } x. P x$

$$\frac{P ?_x}{P (\text{SOME } x. P x)} \text{ someI}$$

# More Epsilon



$\varepsilon$  implies Axiom of Choice:

$$\forall x. \exists y. Q x y \implies \exists f. \forall x. Q x (f x)$$

Existential and universal quantification can be defined with  $\varepsilon$ .

# More Epsilon



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$$\forall x. \exists y. Q x y \implies \exists f. \forall x. Q x (f x)$$

Existential and universal quantification can be defined with  $\varepsilon$ .

Isabelle also knows the definite description operator **THE** (aka  $\iota$ ):

$$\overline{(\text{THE } x. x = a)} \text{ the\_eq\_trivial}$$

# Some Automation



## More Proof Methods:

**apply** (intro <intro-rules>) repeatedly applies intro rules

**apply** (elim <elim-rules>) repeatedly applies elim rules

# Some Automation



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**apply** (intro <intro-rules>) repeatedly applies intro rules

**apply** (elim <elim-rules>) repeatedly applies elim rules

**apply** clarify  
applies all safe rules  
that do not split the goal

# Some Automation



## More Proof Methods:

**apply** (intro <intro-rules>) repeatedly applies intro rules

**apply** (elim <elim-rules>) repeatedly applies elim rules

**apply** clarify applies all safe rules  
that do not split the goal

**apply** safe applies all safe rules

# Some Automation



## More Proof Methods:

- apply** (intro <intro-rules>) repeatedly applies intro rules
- apply** (elim <elim-rules>) repeatedly applies elim rules
- apply** clarify applies all safe rules that do not split the goal
- apply** safe applies all safe rules
- apply** blast an automatic tableaux prover (works well on predicate logic)



# Some Automation



## More Proof Methods:

- apply** (intro <intro-rules>) repeatedly applies intro rules
- apply** (elim <elim-rules>) repeatedly applies elim rules
- apply** clarify applies all safe rules that do not split the goal
- apply** safe applies all safe rules
- apply** blast an automatic tableaux prover (works well on predicate logic)
- apply** fast another automatic search tactic



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# Epsilon and Automation Demo

# We have learned so far...



→ Proof rules for predicate calculus

# We have learned so far...



- Proof rules for predicate calculus
- Safe and unsafe rules

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- Proof rules for predicate calculus
- Safe and unsafe rules
- Forward Proof

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- Proof rules for predicate calculus
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- The Epsilon Operator
- Some automation